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PTO/SB/21 (02-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/656,507
Filing Date	September 4, 2003
First Named Inventor	Satoshi Oshima
Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	16869K-092500US

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO form SB08A (two pages), copies of 5 cited foreign patent references, Return Postcard |
|--|---|---|

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	6-28-04	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Roger Hylton		
Signature		Date	6/28/04



PATENT  
Attorney Docket No.: 16869K-092500US  
Client Reference No.: 593/SM/yk

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

SATOSHI OSHIMA et al.

Application No.: 10/656,507

Filed: September 4, 2003

For: METHOD FOR UPDATING SECURITY  
INFORMATION, CLIENT, SERVER AND  
MANAGEMENT COMPUTER THEREFOR

Examiner: Unassigned

Art Unit: 2131

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the foreign patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Chun-Pok Leung  
Reg. No. 41,405

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60247744 v1



<b>Substitute for form 1449A/P</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/656,507		
		Filing Date	September 4, 2003		
		First Named Inventor	Satoshi Oshima		
		Art Unit	2131		
		Examiner Name	Unassigned		
Sheet	1	of	2	Attorney Docket Number	16869K-092500US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-6,728,888	04-27-2004	Ooki et al.	
	2	US-2004/0064724	04-01-2004	Himmel et al.	
	3	US-2004/0064713	04-01-2004	Yadav	
	4	US-2004/0064731	04-01-2004	Nguyen et al.	
	5	US-2004/0006616	01-08-2004	Quinn et al.	
	6	US-2003/0225667	12-04-2003	Sato	
	7	US-2003/0177389	09-18-2003	Albert et al.	
	8	US-6,622,220	09-16-2003	Yoshida et al.	
	9	US-6,598,090	07-22-2003	Champlin	
	10	US-6,594,763	07-15-2003	Madoukh	
	11	US-2003/0131246	07-10-2003	Reeves et al.	
	12	US-2003/0126441	07-03-2003	Laux et al.	
	13	US-6,584,568	06-24-2003	Dircks et al.	
	14	US-2003/0061482	03-27-2003	Emmerichs	
	15	US-6,535,911	03-18-2003	Miller et al.	
	16	US-2003/0033523	02-13-2003	McNulty et al.	
	17	US-2003/0023874	01-30-2003	Prokupets	
	18	US-2002/0174348	11-21-2002	Ting	
	19	US-2002/0169878	11-14-2002	Orenshteyn	
	20	US-2002/0162026	10-31-2002	Neuman et al.	
	21	US-2002/0157016	10-24-2002	Russell et al.	
	22	US-2002/0157010	10-24-2002	Dayan et al.	
	23	US-6,453,418	09-17-2002	Ooki et al.	
	24	US-2002/0108059	08-08-2002	Canion et al.	
	25	US-6,430,561	08-06-2002	Austel et al.	
	26	US-2002/0073328	06-13-2002	Daniels et al.	
	27	US-6,393,569	05-21-2002	Orenshteyn	
	28	US-2002/0016920	02-07-2002	Komura	
	29	US-2001/0025346	09-27-2001	Kayashima et al.	
	30	US-6,202,157	03-13-2001	Brownlie et al.	
	31	US-5,968,176	10-19-1999	Nesset et al.	
	32	US-5,652,908	07-29-1997	Douglas et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	33	PCT	WO/03029940	A2	04-10-2003	Network Associates		<input type="checkbox"/>
	34	EP	1335564	A2	08-13-2003	Toshiba		<input type="checkbox"/>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	35	JP	2003-296283	A	10-17-2003	Hitachi Ltd.		<input checked="" type="checkbox"/>
	36	JP	2003-186728	A	07-04-2003	Hitachi Ltd.		<input checked="" type="checkbox"/>
	37	JP	2000-20469	A	01-21-2000	NEC Corp		<input checked="" type="checkbox"/>

Examiner Signature		Date Considered	
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